



IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Carey Nachenberg
SERIAL NO.: 10/632,857
FILING DATE: July 31, 2003
TITLE: Computer Code Intrusion Detection System Based on Acceptable Retrievals
EXAMINER: not yet known
GROUP ART UNIT: not yet known
ATTY. DKT. NO.: 20423-07776

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop IDS, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Dated: Oct - 16, 2003

By: Edward J. Radlo
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INFORMATION DISCLOSURE STATEMENT

SIR:

Pursuant to the provisions of 37 CFR: 1.56 and 1.97-1.98, Applicant hereby cites the six references listed on the accompanying substitute PTO-1449A without inferring or suggesting, but instead expressly disclaiming any inference or suggestion, that any more pertinent reference exists. Applicant also encloses herewith copies of the cited references, all of which are in the English language.

The Commissioner is authorized to charge any fees required in connection with the submission of this IDS to deposit account 19-2555. This sheet is being submitted in duplicate.

Consideration of these listed references and a Notice of Allowance are respectfully requested.

Respectfully submitted,



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enclosures

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet

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of

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		Complete if Known
Application N .		10/632,857
Filing Date		July 31, 2003
First Named Inventor		Carey Nachenberg
Art Unit		not yet known
Examiner Name		not yet known
Attorney Docket Number	20423-07776	

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No.	Date	Name of Patentee or Applicant of Cited Document
		Number – Kind Code ² (if known)	Date MM-DD-YYYY	
		US 2003/0037251 A1	02-20-2003	Frieder et al.
		US 2002/0157020 A1	10-24-2002	Royer
		US 2002/0083343 A1	06-27-2002	Crosbie et al.
		US 6,314,409 B2	11-06-2001	Schneck et al.

FOREIGN PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Date	Name of Patentee or Applicant of Cited Document
		Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	T ⁶

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Date	Name of Patentee or Applicant of Cited Document
		CHUNG, CHRISTINA YIP, ET AL., "DEMIDS: A Misuse Detection System for Database Systems", Department of Computer Science, University of California at Davis; October 1, 1999; pp. 1-18, California, U.S.A.		
		LEE, SIN YEUNG, ET AL., "Learning Fingerprints for a Database Intrusion Detection System", ESORICS 2002, Springer-Verlag, Berlin/Heidelberg Germany, pp. 264-279		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.